

Our Reference: 005694.P075

Patent

WAFER LEVEL PACKAGE AND THE PROCESS OF THE SAME

Inventors: Wen-Kun Yang and Eddy Mou

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Chun M. Ng  
Reg. No. 36,878

"Express Mail" mailing label number: EL862050613US

Date of Deposit: October 22, 2001

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(Date signed)

Serial/Patent No.: New Application

Client: Himark Counselors

Filing/Issue Date: Herewith

Title: WAFER LEVEL PACKAGE AND THE PROCESS OF THE SAME

BSTZ File No.: 5694.P075

Date Mailed: 10-22-01

Atty/Secty Initials: CMN:sef

Docket Due Date: \*\*\*

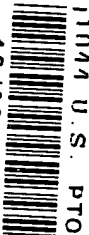
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☐ Amendment/Response (\_\_\_\_ pgs.)
- ☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate)
- ☒ Application - Utility ( 15 pgs., with cover and abstract)
- ☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.)
- ☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.)
- ☐ Application - Design (\_\_\_\_ pgs.)
- ☐ Application - PCT (\_\_\_\_ pgs.)
- ☐ Application - Provisional (\_\_\_\_ pgs.)
- ☒ Assignment and Cover Sheet
- ☒ Certificate of Mailing
- ☒ Declaration & POA ( 5 pgs.)
- ☐ Disclosure Docs & Orig. & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.)
- ☒ Drawings: 5 # of sheets includes 12 figures

- ☒ Express Mail No.: EL862050613US ☒ Check No. 1732
- ☐ \_\_\_\_\_ Month(s) Extension of Time Amt: \$410.00
- ☐ Information Disclosure Statement & PTO-140 (\_\_\_\_ pgs.) ☐ Check No. \_\_\_\_\_
- ☐ Issue Fee Transmittal Amt: \_\_\_\_\_
- ☐ Notice of Appeal
- ☐ Petition for Extension of Time
- ☐ Petition for \_\_\_\_\_
- ☒ Postcard
- ☐ Power of Attorney (\_\_\_\_ pgs.)
- ☐ Preliminary Amendment (\_\_\_\_ pgs.)
- ☐ Reply Brief (\_\_\_\_ pgs.)
- ☐ Response to Notice of Missing Parts
- ☐ Small Entity Declaration for Indep. Inventor/Small Business
- ☒ Transmittal Letter, in duplicate
- ☒ Fee Transmittal, in duplicate

Other: \*Certificate of mailing with copy of return postcard signed by attorney

10/22/01



11002 U.S. PTO

Please type a plus sign (+) inside this box [ + ]

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 005694.P075

(maximum 12 characters)

First Named Inventor Wen-Kun YangTitle: WAFER LEVEL PACKAGE AND PROCESS OF THE SAMEExpress Mail Label No. EL862050613US11002 U.S. PTO  
10/029764

10/22/01

**ADDRESS TO:** Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.   X   **Fee Transmittal Form (e.g., PTO/SB/17)**  
(Submit an original, and a duplicate for fee processing)
2.   X   **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3.   X   **Specification (Total Pages   15  )**  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.   X   **Drawings(s) (35 USC 113) (Total Sheets   5  )**
5.   X   **Oath or Declaration (Total Pages   5  )**
  - a.   X   Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
    - i.        DELETIONS OF INVENTOR(S) Signed statement attached deleting  
inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
and 1.33(b).
  - c.        Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.        Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

# 10594-10603

- 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

(which is a      continuation/      divisional/      CIP of prior application no.                     ,  
which is a      continuation/      divisional/      CIP of prior application no.                     ) (List entire chain of priority)

**18B. Statement under 37 CFR 3.73(b) for continuing application:**

19.	Correspondence Address
-----	------------------------

<b>X</b>	Correspondence Address Below
----------	------------------------------

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

## Seventh Floor

Country U.S.A. TELEPHONE (206) 292-8600 FAX (206) 292-8606

Signatur : \_\_\_\_\_ Date: 10/22/01

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**FEE TRANSMITTAL FOR FY 2001**

<b>TOTAL AMOUNT OF PAYMENT (\$)</b>	<b><u>\$410.00</u></b>
-------------------------------------	------------------------

**Complete if Known:**

Application No. not yet assigned

**Filing Date** herewith

**First Named Inventor** Wen-Kun Yang

Group Art Unit \_\_\_\_\_ not yet assigned

Examiner Name not yet assigned

Attorney Docket No. 005694.P075

**METHOD OF PAYMENT** (check one)

1.     **[ X ]   The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:**

**Deposit Account Number** 02-2666

**Deposit Account Name** \_\_\_\_\_

- [ X ] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17**

- [ ] Applicant claims small entity status. See 37 CFR 1.27**

2.     X     Payment Enclosed:     X     Check  
                      Credit Card  
                      Money Order  
                      Other

## FEE CALCULATION

## 1. BASIC FILING FEE

<u>Large Entity</u>		<u>Small Entity</u>			
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	<u>Fee Description</u>	<u>Fee Paid</u>
101	740	201	370	Utility application filing fee	370.00
106	320	206	160	Design application filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional application filing fee	

**SUBTOTAL (1)    \$ 370.00**

## 2. EXTRA CLAIM FEES

<b>2. <u>EXTRA CLAIM FEES</u></b>			<b><u>Fee from</u></b>	
		<b><u>Extra Claims</u></b>	<b><u>below</u></b>	<b><u>Fee Paid</u></b>
<b>Total Claims</b>	<u>19</u>	<b>- 20** =</b> <u>0</u>	<b>X</b> <u>0</u>	<b>=</b> <u>0</u>
<b>Independent Claims</b>	<u>2</u>	<b>- 3** =</b> <u>0</u>	<b>X</b> <u>0.00</u>	<b>=</b> <u>0.00</u>
<b>Multiple Dependent</b>				<b>=</b>

**\*\*Or number previously paid, if greater; For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>
103	13	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$0.00**

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

#### SUBMITTED BY:

Typed or Printed Name: Chun M. Ng

Signature:  Date: 10/22/01

Reg. Number: 36,878 Telephone Number: 206-292-8600

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